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RAW SEQUENCE LISTING ERROR REPORT



RECEIVED FEB 2 2 2002 TECH CENTER 1600/2900

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/492,764ASource: 1642Date Processed by STIC: 2/11/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
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- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO **REDUCE** ERRORED SEQUENCE LISTINGS, **PLEASE** USE THE <u>CHECKER</u> <u>VERSION 3.1 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- 3. Hand Carry directly to:
 - U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
 - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

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FEB 2 2 2002



TECH CENTER 1600/2900

1642

RAW SEQUENCE LISTING DATE: 02/11/2002 PATENT APPLICATION: US/09/492,764A TIME: 14:24:25

Input Set : A:\10873-008-999.txt

Output Set: N:\CRF3\02112002\I492764A.raw

5 <110> APPLICANT: Jove, Richard 7 Dalton, WIlliam **Does Not Comply** 9 Sebti, Said Corrected Diskette Needed 11 Yu, Hua 13 Heller, Richard 15 Jaroszeski, Mark 17 Gilbert, Richard Hamilton, Andrew 22 <120> TITLE OF INVENTION: INHIBITION OF STAT3 SIGNAL TRANSDUCTION FOR HUMAN CANCER THERAPY 26 <130> FILE REFERENCE: 10873-008-999 30 <140> CURRENT APPLICATION NUMBER: 09/492,764A 32 <141> CURRENT FILING DATE: 2000-01-27 36 <150> PRIOR APPLICATION NUMBER: 60/117,600 38 <151> PRIOR FILING DATE: 1999-01-27 42 <160> NUMBER OF SEQ ID NOS: 38 46 <170> SOFTWARE: PatentIn version 3.0 50 <210> SEQ ID NO: 1 52 <211> LENGTH: 24 54 <212> TYPE: DNA 56 <213> ORGANISM: Homo sapiens 60 <400> SEQUENCE: 1 61 agcttcattt cccgtaaatc ccta 24 64 <210> SEQ ID NO: 2 66 <211> LENGTH: 24 68 <212> TYPE: DNA 70 <213> ORGANISM: Homo sapiens 74 <400> SEQUENCE: 2 24 75 agcttcattt cccgtaaatc ccta 78 <210> SEQ ID NO: 3 80 <211> LENGTH: 22 82 <212> TYPE: DNA 84 <213> ORGANISM: Homo sapiens 88 <400> SEQUENCE: 3 22 89 gtcccccggc cggggaggcg ct 92 <210> SEQ ID NO: 4 94 <211> LENGTH: 25 96 <212> TYPE: DNA 98 <213> ORGANISM: Homo sapiens 102 <400> SEQUENCE: 4

106 <210> SEQ ID NO: 5 108 <211> LENGTH: 25 110 <212> TYPE: DNA

103 cgacgacttc tcccgccgct accgc

25

RAW SEQUENCE LISTING DATE: 02/11/2002 PATENT APPLICATION: US/09/492,764A TIME: 14:24:25

Input Set : A:\10873-008-999.txt

Output Set: N:\CRF3\02112002\I492764A.raw

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     116 <400> SEQUENCE: 5
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     120 <210> SEQ ID NO: 6
     122 <211> LENGTH: 20
     124 <212> TYPE: DNA
     126 <213> ORGANISM: Homo sapiens
     130 <400> SEQUENCE: 6
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     131 cgggcattca gtgacctgac
     134 <210> SEQ ID NO: 7
     136 <211> LENGTH: 20
     138 <212> TYPE: DNA
     140 <213> ORGANISM: Homo sapiens
     144 <400> SEQUENCE: 7
     145 tcaggaacca gcggttgaag
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     148 <210> SEQ ID NO: 8
     150 <211> LENGTH: 20
     152 <212> TYPE: DNA
     154 <213> ORGANISM: Homo sapiens
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     162 <210> SEQ ID NO: 9
     164 <211> LENGTH: 20
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     168 <213> ORGANISM: Homo sapiens
     172 <400> SEQUENCE: 9
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     196 <213> ORGANISM: Homo sapiens
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     201 tgaggataa
     204 <210> SEQ ID NO: 12
     206 <211> LENGTH: 12
     208 <212> TYPE: PRT
     210 <213> ORGANISM: Homo sapiens
     214 <220> FEATURE:
216 <221> NAME/KEY: misc_feature Xaa N is used in nucleotide sequence.
     218 <223> OTHER INFORMATION: N S OR P
     222 <400> SEQUENCE: 12
W--> 224 His Tyr Xaa Pro Ile Leu Val Tyr Gln Pro Ser Trp
                         5
     225 1
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RAW SEQUENCE LISTING DATE: 02/11/2002 PATENT APPLICATION: US/09/492,764A TIME: 14:24:25

Input Set : A:\10873-008-999.txt

Output Set: N:\CRF3\02112002\I492764A.raw

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239 Gln Asp Val His Leu Thr Gln Gln Ser Arg Tyr Thr
242 <210> SEQ ID NO: 14
244 <211> LENGTH: 12
246 <212> TYPE: PRT
248 <213> ORGANISM: Homo sapiens
252 <400> SEQUENCE: 14
254 Ser His Pro Trp Asn Ala Gln Arg Glu Leu Ser Val
257 <210> SEQ ID NO: 15
259 <211> LENGTH: 12
261 <212> TYPE: PRT
263 <213> ORGANISM: Homo sapiens
267 <400> SEQUENCE: 15
269 Tyr Pro Ala Pro Gln Pro Leu Val Thr Lys Thr Ser
272 <210> SEQ ID NO: 16
274 <211> LENGTH: 12
276 <212> TYPE: PRT
278 <213> ORGANISM: Homo sapiens
282 <400> SEQUENCE: 16
284 Phe Ser Tyr Pro Leu Thr Arg Ala Pro Leu Asn Met
287 <210> SEQ ID NO: 17
289 <211> LENGTH: 7
291 <212> TYPE: PRT
293 <213> ORGANISM: Homo sapiens
297 <400> SEQUENCE: 17
299 His Ala Ile Tyr Pro Arg Asn
302 <210> SEQ ID NO: 18
304 <211> LENGTH: 7
306 <212> TYPE: PRT
308 <213> ORGANISM: Homo sapiens
312 <400> SEQUENCE: 18
314 Ala Ser Thr Leu Pro Lys Ala
317 <210> SEQ ID NO: 19
319 <211> LENGTH: 7
321 <212> TYPE: PRT
323 <213> ORGANISM: Homo sapiens
327 <400> SEQUENCE: 19
329 Ile Gln Ser Pro His Phe Phe
330 1
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RAW SEQUENCE LISTING DATE: 02/11/2002 PATENT APPLICATION: US/09/492,764A TIME: 14:24:25

Input Set : A:\10873-008-999.txt

Output Set: N:\CRF3\02112002\I492764A.raw

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DATE: 02/11/2002

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TIME: 14:24:25
                  PATENT APPLICATION: US/09/492,764A
                  Input Set : A:\10873-008-999.txt
                  Output Set: N:\CRF3\02112002\I492764A.raw
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 445 <213> ORGANISM: Homo sapiens
 449 <220> FEATURE:
 451 <221> NAME/KEY: misc_feature
 453 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
 457 <400> SEQUENCE: 25
459 Pro Xaa Leu Lys Ala Lys
 460 1
 462 <210> SEQ ID NO: 26
 464 <211> LENGTH: 6
 466 <212> TYPE: PRT
 468 <213> ORGANISM: Homo sapiens
 472 <220> FEATURE:
                                                     Xaa isn't shown in this sequence.
 474 <221> NAME/KEY: misc_feature
 476 <223> OTHER INFORMATION (X = PHOSPHOTYROSINE)
 480 <400> SEQUENCE: 26
 482 Pro Tyr Leu Lys Thr Ala
 483 1
 485 <210> SEQ ID NO: 27
 487 <211> LENGTH: 4
 489 <212> TYPE: PRT
 491 <213> ORGANISM: Homo sapiens
 495 <220> FEATURE:
 497 <221> NAME/KEY: misc_feature
 499 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
 503 <400> SEQUENCE: 27
> 505 Pro Xaa Leu Lys
 506 1
 508 <210> SEQ ID NO: 28
 510 <211> LENGTH: 4
 512 <212> TYPE: PRT
 514 <213> ORGANISM: Homo sapiens
 518 <220> FEATURE:
 520 <221> NAME/KEY: misc_feature
 522 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
 526 <400> SEQUENCE: 28
> 528 Pro Xaa Phe Lys
 529 1
 531 <210> SEQ ID NO: 29
 533 <211> LENGTH: 3
 535 <212> TYPE: PRT
 537 <213> ORGANISM: Homo sapiens
 541 <220> FEATURE:
 543 <221> NAME/KEY: misc_feature
 545 <223> OTHER INFORMATION: X = PHOSPHOTYROSINE
 549 <400> SEQUENCE: 29
 551 Xaa Leu Lys
 552 1
 554 <210> SEQ ID NO: 30
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RAW SEQUENCE LISTING

Use of n and/or Xaa has been detected in the Sequence Listing. Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using n or Xaa.

VERIFICATION SUMMARY
PATENT APPLICATION: US/09/492,764A

DATE: 02/11/2002 TIME: 14:24:26

Input Set : A:\10873-008-999.txt

Output Set: N:\CRF3\02112002\I492764A.raw

L:224 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:12 L:224 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12 L:352 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:20 $L\!:\!352~M\!:\!341~W\!:$ (46) "n" or "Xaa" used, for SEQ ID#:20 L:390 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:22 L:390 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:22 $L:413\ M:258\ W:$ Mandatory Feature missing, <222> not found for SEQ ID#:23 L:413 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:23 L:436 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:24 L:436 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24 L:459 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:25 L:459 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25 L:505 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:27 L:505 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:27 L:528 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:28 L:528 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:28 L:551 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:29 L:551 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:29 L:574 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:30 L:574 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:30 L:612 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:32 L:612 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:32 L:650~M:258~W: Mandatory Feature missing, <222> not found for SEQ ID#:34 L:650~M:341~W: (46) "n" or "Xaa" used, for SEQ ID#:34 L:673 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:35 L:673 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:35 L:696 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:36 L:696 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:36 L:719 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:37 L:719 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:37 L:742 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:38 L:742 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38